



CERTIFICATE OF MAILING

I certify that this Supplemental Information Disclosure Statement and accompanying document(s) are being deposited with the United States Postal Service as First Class mail under 37 C.F.R. 1.8, addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 12-20-04

Christie L. Cermak  
Christie L. Cermak

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF : Christopher `Hsu et al.  
FOR : **RETAINER RING FOR WIRE PACKAGE**  
SERIAL NO. : 10/629,044  
FILED : July 30, 2003  
EXAMINER : William A. Rivera  
ART UNIT : 3654  
CONFIRMATION NO. : 7391  
ATTORNEY DOCKET NO. : **LEEE 200301**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, 1.98 and MPEP § 609, applicant(s) submit(s) the following Supplemental Information Disclosure Statement concerning art of which the applicant(s) is (are) aware. A copy of PTO-1449 is enclosed herewith.

This Supplemental Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein or submitted herewith is "prior art" for this invention unless specifically designated as such.

In accordance with the United States Patent and Trademark Office OG Notice

dated 05 August 2003, waiving the requirement under 37 C.F.R. §1.98(a)(2)(i), for submitting a copy of each cited U.S. patent and U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003, Applicant(s) has not enclosed copies of the cited U.S. patents and applications with this Disclosure.

In accordance with 37 C.F.R. §1.97(g) and (h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Under § 1.98(a)(3), no concise explanation of relevance is required for information that is in the English language. Accordingly, the enclosed require(s) no further explanation (or no translation is available). All of the cited and/or included references were cited by the European Patent Office in a related application(s).

Consideration of the appropriate paragraph(s) indicated below is respectfully requested:

☐ **WITHIN THREE MONTHS OF FILING:** Under § 1.97(b)(1), this Supplemental Information Disclosure Statement is being filed within three months of the filing date of the application. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

☐ **BEFORE FIRST OFFICE ACTION:** Under § 1.97(b)(3), this Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

☒ **INTERNATIONAL SEARCH REPORT:** Under § 1.97(e)(1), the undersigned certifies that each item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

☐ **BEFORE FINAL ACTION/WITH FEE:** Under § 1.97(c)(2), this information shall be considered if filed before the mailing date of a final action if accompanied by a fee in the amount of \$180.00 as required by §1.17(p). Accordingly, the necessary fee

accompanies this Supplemental Information Disclosure Statement. Any overpayment or deficiency can be charged to Deposit Account No. 06-0308.

☐ **PRIORITY CLAIM:** The attached PTO 1449 Form includes all patents, publications, or other information previously cited by or submitted to the Office in one or more prior applications from which the present application claims priority. These one or more prior applications are identified in the papers accompanying the filing of this application. In accordance with § 609 MPEP, only copies of art not previously submitted are enclosed.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment during the entire pendency of this application to Deposit Account No. 06-0308.

It is respectfully requested that the attached document(s) be considered and officially cited in examination of this application.

Respectfully submitted,

FAY, SHARPE, FAGAN,  
MINNICH & McKEE, LLP

12/20/04  
Date

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Substitute for Form 1449/PTO

## COMPLETE IF KNOWN

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT(S)

(Use as many sheets as necessary)

Application Number	10/629,044
Filing Date	July 30, 2003
First Named Inventor	HSU, Christopher
Art Unit	3654
Examiner Name	RIVERA, William A.
Attorney Docket No.	LEEE 200301

Sheet 1 of 2

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document No. Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	AA	US-		
	AB	US-		
	AC	US-		
	AD	US-		
	AE	US-		
	AF	US-		
	AG	US-		
	AH	US-		
	AI	US-		
	AJ	US-		
	AK	US-		
	AL	US-		

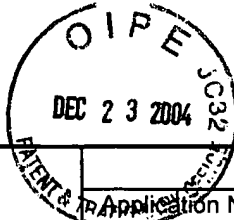
## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document Country Code-Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T
	AM	JP 4 133973	05-07-1992	NIPPON STEEL WELD PROD. & ENG. CO. LTD.	
	AN	JP 4 112169	04-14-1992	NIPPON STEEL WELD PROD. & ENG. CO. LTD.	
	AO	FR 2 278 617	02-13-1976	CONFLANDEY FORGES TREFILIERIES	
	AP	SU 584 925	12-25-1977	URAL POLT I IM KIROVA	

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published	T
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<b>Substitute for Form 1449/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT(S)</b> <i>(Use as many sheets as necessary)</i>	<b>COMPLETE IF KNOWN</b>	
	Application Number	10/629,044
	Filing Date	July 30, 2003
	First Named Inventor	HSU, Christopher
	Art Unit	3654
Examiner Name	RIVERA, William A.	
Sheet 2 of 2	Attorney Docket No.	LEEE 200301

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	BA	US-		
	BB	US-		
	BC	US-		
	BD	US-		
	BE	US-		
	BF	US-		
	BG	US-		
	BH	US-		
	BI	US-		
	BJ	US-		
	BK	US-		
	BL	US-		

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T
		Country Code-Number Kind Code (if known)			
	BM	JP 9 323120	12-16-1997	DAIDO STEEL CO. LTD.	
	BN	JP 3 264169 A	11-25-1991	DAIDO STEEL CO. LTD.	
	BO	JP 2001 302096	10-31-2001	NIPPON STEEL WELD PROD. & ENG. CO. LTD.	
	BP				

### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published	T
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Examiner Signature		Date Considered	
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